RECEIPT #

HE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

David A. Edwards, Robert S. Langer, Rita Vanbever,

Jeffrey Mintzes, Jue Wang, and Donghao Chen

Serial No:

08/971,791

Art Unit:

1615

Filed:

November 17, 1997

Examiner: Not yet assigned

For:

PREPARATION OF NOVEL PARTICLES FOR INHALATION

Assistant Commissioner for Patents Washington, D.C. 20231

REOUEST FOR A CORRECTED FILING RECEIPT

Sir:

Applicants respectfully request issuance of a corrected filing receipt in the above identified application to correct the name of applicant Joe Wang to Jue Wang; to show that this application claims priority to U.S. application serial number 60/059,004 filed September 15, 1997, and U.S. application serial number 08/784,421 filed January 16, 1997; and to correct the attorney docket number, MIT 7513/7804. To facilitate this request, applicants enclose copies of the executed Declaration of Inventorship and Power of Attorney mailed December 17, 1997; the unexecuted Declaration of Inventorship originally filed on November 17, 1997; the Official Filing Receipt (with corrections noted in red); and the returned postcard, which indicates that the Patent Office received the documents mailed December 17, 1997.

Respectfully submitted,

Reg. No. P-42,737

Date: June 24, 1998 ARNALL GOLDEN & GREGORY, LLP 2800 One Atlantic Center 1200 West Peachtree Street Atlanta, Georgia 30309-3450

404/873-8596

404/873-8597 (fax)

U.S.S.N. 08/971,791

Filed: November 17, 1997

REQUEST FOR A CORRECTED FILING RECEIPT

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this REQUEST FOR A CORRECTED FILING RECEIPT, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Eva Mukasa

Date: June 24, 1998

RECEIVED 98 JUL 14 AM 7: 08 PTO-103X (Rev. 8-95)

FILING RECEIPT





UNITED STATES DEPARTMENT OF COMMERCE Right Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE_REC'D	ATTORNEY	DOCKET N	O. DRWGS	TOT CL	IND CL
08/971,791	11/17/97	1615	\$1,316.00	20220,	/458	8	38	2
				MIT	7513/	7804		

PATREA L PABST ARNALL GOLDEN AND GREGORY 2800 ONE ATLANTIC CENTER 1201 WEST PEACHTREE STREET ATLANTA GA 30309-3450

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

DAVID A. EDWARDS, STATE COLLEGE, PA; ROBERT S. LANGER, NEWTON, MA; RITA VANBEVER, CAMBRIDGE, MA; JEFFREY MINTZES, STATE COLLEGE, PA; JOE WANG, STATE COLLEGE, PA; DONGHAO CHEN, STATE COLLEGE, PA. JUE WANG, STATE COLLEGE, PA.

THIS APPLICATION CLAIMS PRIORITY TO 60/059,004 09/15/1997; and TO 08/784,421 01/16/1997

FOREIGN FILING LICENSE GRANTED 03/04/98 TITLE
PREPARATION OF PARTICLES FOR INHALATION

PRELIMINARY CLASS: 514

RECEIVED
98 JUL 14 M 7:08
GROUP 180

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MAR 1 1 1998
PATENT DEPT.

DOCKETED FOR ______ EV _JSH DATE _3-16:98



"Preparation of Particles for Inhalation"

DECLARATION FOR PATENT APPLICATION

As a below inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

the specification of which (check one)			
·	<u>x</u> is attached h	ereto	
		November 17, 1997	<u> </u>
	as application	Serial No.	<u> </u>
	and was ame	nded on:	
		(if applicable)	
I hereby state that I have rev claims, as amended by any amendment		ents of the above-identified specificatio	n, including the
I acknowledge the duty to dis accordance with Title 37, Code of Fed		erial to the examination of this applica	tion in
	pelow and have also identified b	d States Code, § 119 of any foreign appelow any foreign application for patent priority is claimed.	
Prior Foreign Application(s)			Priority <u>Claimed</u>
(Number)	(Country)	(Day/Month/Year Filed)	
(Number)	(Country)	(Day/Month/Year Filed)	<u> </u>
(Number)	(Country)	(Day/Month/Year Filed)	<u>-</u> :
I hereby claim the benefit uncapplication(s) listed below:	der Title 35, United States Code	e § 119(e) of any United States provision	onal
Provisional Application Number	Filing Date		
	9/15/97		
(Number)	(Day/Month/Year F	iled)	
(Number)	(Day/Month/Year F	iled)	
(Number)	(Day/Month/Year F	iled)	

Title: "Preparation of Particles for Inhalation"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Joe Wang, Donghao Chen

Fifed: November 17, 1997

DECLARATION

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/784.421	1/16/97		Pending		
(Application Serial No.)			Status		
			(patented, pending, abandoned)		
(Application Serial No.)	(Filing Date)		Status		
(Application Serial Prof)	(rining Dutt)		(patented, pending, abandoned)		
	I hereby appoint the following atent and Trademark Office co		nd/or agent(s) to prosecute this application and ewith.		
	Patrea L. Pabst	31,284			
	Suzanne F. Seavello	37,933			
	David S. Bradin	37,783			
	Collen A. Beard	38,824			
	Robert A. Hodges	41,074			
Send Correspondence to:	Patrea L. Pabst, Esq. ARNALL GOLDEN & GF 2800 One Atlantic Center 1201 W. Peachtree Street	REGORY LL	P		
	Atlanta, GA 30309-3450				
Direct telephone calls to:	Patrea L. Pabst (404) 873-	8794			
information and belief are be willful false statements and t	elieved to be true; and further t the like so made are punishable	that these stat by fine or im	wledge are true and that all statements made on ements were made with the knowledge that aprisonment, or both, under Section 1001 of Title eopardize the validity of the application or any		
Full name of sole or first inv	ventor David A. Edwards				
			Date		
Residence 109 Hart	swick Avenue, State College, P.	A 16803			
Citizenship <u>U.S.</u>	(400)				
Post Office Address sam	ie.				

Title: "Preparation of Particles for Inhalation"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Joe Wang, Donghao Chen Fried: November 17, 1997

DECLARATION

Full name of second inventor <u>Robert S. Langer</u>		
Inventor's signature	Date	
Residence 77 Lombard Street, Newton, MA 02158		
Citizenship U.S.		
Post Office Address same		
Full name of third inventor Rita Vanbever		
Inventor's signature	Date	
Residence 11 Centre St., #3, Cambridge, MA		
Citizenship U.S.		
Post Office Address same		
Full name of fourth inventor	· · · · · · · · · · · · · · · · · · ·	
Inventor's signature	Date	
Residence 421 E. Beaver Avenue, Apt. A-12, State College, PA 16801		
Citizenship U.S.		
Post Office Address same		
Full name of fifth inventor Joe Wang		
Inventor's signature	Date	
Residence 869 Southgate Dr., State College, PA 16801		
Citizenship U.S.		
Post Office Address same		
Full name of sixth inventor Donghao Chen		
Inventor's signature	Date	
Residence 1013 South Allen Street, #408, State College, PA 16801		
Citizenship U.S.		
Post Office Address same	<u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>	



The "Received" stamp of the Patent Office imprinted hereon acknowledges the filing of: Applicant: David A. Edwards, et al.

Serial & Docket No. 08/971,791 Filed: November 17, 1997

MIT 7513/7804

Papers Submitted:

Transmittal of Assignments, Declaration/Power of Attorney, and Certificate Under 37 CFR §3.73(b) with Certificate of Mailing Under 37 CFR §1.8(a) (in duplicate); Recordation Form Cover Sheet (form PTO 1619A) and Assignment from Robert S. Langer and Rita Vanbever to Massachusettes Institute of Technology; Recordation Form Cover Sheet (form The 1619A) and Assignment from David A. Edwards, Jeffrey Mintzes, Joe Wang and Donghao Chen to Penn State Research Foundation; Declaration/Power Attorney; and Checks for \$130.00 and \$80.00. DEC 2 2 1997

Date: December 17, 1997

Patrea L. Pabst, Reg. No.

JUN 2 9 1998 DECLARATION FOR PATENT APPLICATION

As a beigg And inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: "PREPARATION OF PARTICLES FOR INHALATION" the specification of which (check one) is attached hereto X was filed on November 17, 1997 as application Serial No. 08/971,791 and was amended on: (if applicable) I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a). I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed. **Priority** Prior Foreign Application(s) Claimed (Number) (Country) (Day/Month/Year Filed) (Number) (Country) (Day/Month/Year Filed) (Number) (Country) (Day/Month/Year Filed) I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below: **Provisional Application Number** Filing Date 60/059,004 **September 15, 1997** (Number) (Day/Month/Year Filed) (Number) (Day/Month/Year Filed)

(Day/Month/Year Filed)

(Number)

, "PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/784,421	January 16, 1997	Pending
(Application Serial No.)	(Filing Date)	Status
	,	(patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	Status
		(patented, pending, abandoned)
As named inventor, transact all business in the I	I hereby appoint the following atent and Trademark Office c	attorney(s) and/or agent(s) to prosecute this application and onnected therewith.
	Patrea L. Pabst	31,284
•	David S. Bradin	37,783
	Collen A. Beard	38,824
	Robert A. Hodges	41,074
	Suzanne F. Seavello	37,933
Send Correspondence to:	Patrea L. Pabst, Esq.	
	ARNALL GOLDEN & GR	EGORY LLP
	2800 One Atlantic Center	
	1201 W. Peachtree Street	
	Atlanta, GA 30309-3450	
Direct telephone calls to:	Patrea L. Pabst (404) 873-	794
information and belief are be willful false statements and t	dieved to be true; and further he like so made are punishable	my own knowledge are true and that all statements made on that these statements were made with the knowledge that by fine or imprisonment, or both, under Section 1001 of Title ements may jeopardize the validity of the application or any
Full name of first inventor _	David A. Edwards	
		· · · · · · · · · · · · · · · · · · ·
Inventor's signature	DIC	Date 12 [1] [5]
i e	wick Avenue, State college, PA	16803
Citizenship <u>United State</u>	S	
Post Office Address Same	e as above	

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen Filed: November 17, 1997

DECLARATION

Full name of second inventor Robert S. Langer	1.1.
Inventor's signature //////////	_ Date
Residence 77 Lombard Street, Newton, MA 02158	11
Citizenship United States	
Post Office Address Same as above	

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen

Filed: November 17, 1997

DECLARATION

Full name of third inventor Rita Vanbever			
Inventor's signature		Date/	2/8/97
Residence 11 Centre St. #3, Cambridge, MA 02139			7-7
Citizenship <u>United States</u>			
Post Office Address Same as above			

"PREPARATION OF NOVEL PARTICLES FOR INHALATION"

By: David A. Edwards, Robert S. Langer, Rita Vanbever, Jeffrey Mintzes, Jue Wang, and Donghao Chen Filed: November 17, 1997

DECLARATION

Full fiame of fourth inventor	<u> </u>
Inventor's signature Jeffrey Windses	Date 12-11-97
Residence 421 E. Beaver Avenue, Apt. A-12, State College, PA 1680	
Citizenship United States	
Post Office Address Same as above	
Full name of fifth inventor Jue Wang	
Inventor's signature	Date 12-11-97
Residence 869 Southgate Dr., State College, PA 16801	
Citizenship United States	
Post Office Address Same as above	
Full name of sixth inventor <u>Donghao Chen</u>	·
Inventor's signature	Date 12 11-77
Residence 1013 SouthAllen Street, #408, State College, PA 16801	
Citizenship <u>United States</u>	
Post Office Address Same as above	